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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Neal D. Hartsell, et al.

Filed: June 12, 2001

For: SYSTEMS AND METHODS FOR CONFIGURATION OF INFORMATION
MANAGEMENT SYSTEMS

Serial No.: 09/879,836

Group Art Unit: Unknown

Examiner: Unknown

Atty Docket No.: SURG:153

Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in a first class, postage prepaid, envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

10-3-01 Diane C Potts
Date Name

BOX: MISSING PARTS
Assistant Commissioner For Patents
Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing are:

- New Patent Application consisting of _____ pages and _____ Figs.
- Continued Prosecution Application (37 CFR §1.53(d)) -- The parent application is USSN _____ filed on _____. The prior Examiner was _____ in Group Art Unit _____.
- Response to Missing Parts
- Assignment and Recordation Cover sheet
- Inventors' Declaration
- Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

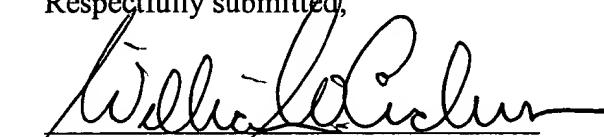
- Information Disclosure Statement
- Petition for extension of time
- Response to Office Action
- Preliminary Amendment
- Submission of Formal Drawings
- Informal Drawings
- Notice of Appeal
- An Appeal Brief (an original and two copies)
- Check in the amount of \$1344.00
- The Commissioner is authorized to deduct any requisite fees under 37 CFR 1.16 to 1.21 from, or deposit any credits to, Deposit Account No. 10-1205/SURG:153, including any concurrent or future required extension of time fees.
- In accordance with 37 CFR 1.136(a)(3), the Commissioner is authorized to treat any concurrent or future reply that requires a petition for an extension of time under 37 CFR 1.126(a) to be timely, as incorporating a petition for extension of time for the appropriate length of time, and the Commissioner is authorized to deduct any requisite fees under 37 CFR 1.16 to 1.21 from Deposit Account No. 10-1205.
- Postcard. Please date stamp and mail this postcard to acknowledge receipt of the enclosed documents.
- Other: Election Under 37 CFR §§3.71 and Statement Under 3.73 and Power of Attorney

FEE CALCULATION:

| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|--------|---|-------------------|-------------------------------|----------------------------|-------------------|
| | Total Claims (37 CFR 1.16(e)) | <u>107</u> - 20 = | 87 | x \$ 18.00 | \$1566.00 |
| | Independent Claims (37 CFR 1.16(b)) | <u>6</u> - 3 = | 3 | x \$ 84.00 | \$ 252.00 |
| | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) | | | x \$ 270.00 | \$ |
| | | | | Basic Fee (37 CFR 1.16(a)) | \$ 740.00 |
| | | | Total of above Calculations = | | \$ 2558.00 |
| | Surcharge for submission of Response to Missing Parts if applicable (\$130) | | | | \$ 130.00 |
| | Reduction by 50% for filing by Small Entity (Note: 37 CFR 1.9, 1.27, 1.28) | | | | \$ 1344.00 |
| | ASSIGNMENT FEE (IF FILED WITH APPLICATION) | | | | \$ |
| | | | TOTAL = | | \$ 1344.00 |

The Examiner is invited to contact the undersigned at 512-347-1611 with any questions or comments, or to otherwise facilitate expeditious prosecution of the application.

Respectfully submitted,



William W. Enders
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WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/879,836 | 06/12/2001 | Neal D. Hartsell | SURG:153 |

CONFIRMATION NO. 3694
FORMALITIES LETTER
OC000000006561222

O'KEEFE, EGAN & PETERMAN, L.L.P.
Building C, Suite 200
1101 Capital of Texas Highway South
Austin, TX 78746

Date Mailed: 09/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/12/2001 SMINASS1 00000064 09879836

FILED UNDER 37 CFR 1.53(b)

| | |
|-----------|-----------|
| 00 FC:201 | 370.00 OP |
| 00 FC:203 | 783.00 OP |
| 00 FC:202 | 126.00 OP |
| 04 FC:205 | 65.00 OP |

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$903.
 - \$783 for 87 total claims over 20.
 - \$120 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1323.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE